

Application for registration of an investment intermediary

pursuant to Act No. 256/2004 Coll., on Undertaking on the Capital Market, as amended
(hereinafter the “Act on Undertaking on the Capital Market”)

I. ADMINISTRATIVE AUTHORITY

1. Name of the administrative authority

Name of the administrative authority	Czech National Bank
Registered office	Na Příkopě 28, Prague 1, postal code 115 03
Filing department	Senovážná 3, Prague 1, postal code 115 03

II. APPLICANT

2. Identification of the applicant – natural person

Name(s) and surname			
Birth number^{1/}	Date of birth		
Identification number^{1/}			
Telephone number	E-mail address		
Address of residence in the form municipality, part of municipality, street, street number, postal code, country			
Delivery address, if different from the address of residence, in the form municipality, part of municipality, street, street number, postal code, country			

3. Identification of the applicant – legal entity

Firm or corporate name			
Identification number			
Telephone number	E-mail address		
Registered office address in the form municipality, part of municipality, street, street number, postal code, country			

III. APPLICATION

4. Application for

<input type="checkbox"/> registration of an investment intermediary

5. Numbered list of all annexes (numbers must be indicated on the very annexes, too);
for every individual annex, give a reference to the relevant provision of the Decree

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IV.
DECLARATION

I hereby declare that the information specified in the application and in its annexes is true, up-to-date and complete.

This application is lodged by the applicant

6. Identification of the person acting for the applicant

Specification of the position	
Name(s) and surname	
Date of birth	
Address of residence in the form municipality, part of municipality, street, street number, postal code, country	
Delivery address, if different from the address of residence, in the form municipality, part of municipality, street, street number, postal code, country	

This application is lodged by the applicant's representative

7. Identification of the person representing the applicant

Details on the representative^{3/}	
Name(s) and surname / firm or corporate name^{2/}	
Date of birth	
Identification number	
Address of residence / registered office in the form municipality, part of municipality, street, street number, postal code, country	
Delivery address, if different from the address of residence / registered office, in the form municipality, part of municipality, street, street number, postal code, country	

In

Date:

By:

^{1/} Shall be stated if assigned.

^{2/} A legal entity shall also specify the person acting on its behalf.

^{3/} For instance, a lawyer, notary or general proxy.