

**Application  
for a licence for a bank /  
for an authorization for a cooperative savings association**

pursuant to Act No. 21/1992 Coll., on Banks, as amended (hereinafter the “Act on Banks”) and pursuant to Act No. 87/1995 Coll., on Savings and Loan Associations and Certain Related Measures and on the Amendment to Act No. 586/1992 Coll. of the Czech National Council, on Income Taxes, as amended, as amended (hereinafter the “Act on Savings and Loan Associations”)

I.  
ADMINISTRATIVE AUTHORITY

**1. Name and address of the administrative authority**

<b>Name of the administrative authority</b>	Czech National Bank
<b>Registered office</b>	Na Příkopě 28, Prague 1, postal code 115 03
<b>Filing department</b>	Senovážná 3, Prague 1, postal code 115 03

II.  
APPLICANT

**2. Identification of the applicant<sup>1/</sup>**

<b>Corporate name</b>	
<b>Identification number</b>	
<b>Telephone number</b>   <b>E-mail address</b>	
<b>Registered office address in the form municipality, part of municipality, street, street number, postal code, country</b>	

III.  
APPLICATION

**3. Application for**

<input type="checkbox"/> licence <input type="checkbox"/> authorization <input type="checkbox"/> change in licence <input type="checkbox"/> change in authorization	<input type="checkbox"/> for a bank
	<input type="checkbox"/> for a cooperative savings association

**A. Further details on the applicant**

**4. Information on the capital of the bank/cooperative savings association**

<b>Total amount of registered capital (in CZK thousand) of which</b> - monetary contribution - non-monetary contribution	
<b>Type, nominal value and form of the applicant's shares</b>	

<b>Number of shares (in pcs)</b> <b>of which:</b> - voting shares - priority shares	
<b>Amount of basic membership contribution per member</b> <b>Other membership contribution</b> no <input type="checkbox"/> yes <input type="checkbox"/> <b>Total amount of other membership contributions (in CZK thousand)</b>	

## **B. Description of the applicant's activities**

### **a) Bank**

The table shall include the activities specified in Section 1 (1)(a) and (b) and in Section 1 (3)(a) to (o) of the Act on Banks which the applicant applies for (licence, change in licensed activities – broadening or narrowing the scope of licensed activities):

Entry in the table:                                      Registered facts    - **Z**  
   Deleted facts        - **V**

### **5a. Required activities**

<b>Name of activity</b>		<b>Z/V</b>	<b>Expected date of commencement</b> (if licence is to be granted or broadened) <b>or termination of activity</b> (if licence is to be narrowed)
Acceptance of deposits from the public	<input type="checkbox"/>		
Granting of loans	<input type="checkbox"/>		
Investing into securities on its own account	<input type="checkbox"/>		
Financial leasing	<input type="checkbox"/>		
Payment transactions and clearing	<input type="checkbox"/>		
Issuance and administration of payment means, e.g. payment cards and traveller's cheques	<input type="checkbox"/>		
Provision of guarantees	<input type="checkbox"/>		
Opening of letters of credit	<input type="checkbox"/>		
Ensuring of encashment	<input type="checkbox"/>		
Provision of investment services pursuant to some other legal regulation <sup>2/</sup> - see table 5aa	<input type="checkbox"/>		
Financial brokerage	<input type="checkbox"/>		
Discharge of the depository function	<input type="checkbox"/>		



## b) Cooperative savings association

The table shall include the activities specified in Section 3 (1) and (2) of the Act on Savings and Loan Associations which the applicant applies for (authorization, change in authorized activities – broadening or narrowing the scope of authorized activities):

Entry in the table: Registered facts - **Z**  
Deleted facts - **V**

### 5b. Required activities

Compulsory information	Name of activity	Z/V	Expected date of commencement (if authorization is to be granted or broadened) or termination of activity (if authorization is to be narrowed)
	Acceptance of deposits from members	<input type="checkbox"/>	
	Granting of loans to members	<input type="checkbox"/>	
	Financial leasing for members	<input type="checkbox"/>	
	Payment transactions and clearing, issuance and administration of payment means for members	<input type="checkbox"/>	
	Provision of guarantees in the form of surety or bank guarantee for members	<input type="checkbox"/>	
	Opening of letters of credit for members	<input type="checkbox"/>	
	Ensuring of encashment for members	<input type="checkbox"/>	
	Purchase and sale of foreign currency for members	<input type="checkbox"/>	
	Lease of safe deposit boxes to members	<input type="checkbox"/>	
<b>Other activities that may be performed by a cooperative savings association solely for the purposes of ensuring the activities specified above</b>			
Optional information	Making deposits with cooperative savings associations and banks and with branches of foreign banks	<input type="checkbox"/>	<b>x</b>
	Accepting loans from cooperative savings associations	<input type="checkbox"/>	<b>x</b>
	Acquiring and disposing of property	<input type="checkbox"/>	<b>x</b>
	Dealing in foreign exchange and in exchange-rate and interest-rate instruments on its own account in order to secure the risks following from the activities pursuant to Section 3 (1) of the Act on Savings and Loan Associations	<input type="checkbox"/>	<b>x</b>
	Dealing in registered securities on its own account, unless the Act on Savings and Loan Associations stipulates otherwise	<input type="checkbox"/>	<b>x</b>

**C. Senior officer(s) of the bank/cooperative savings association**

**6. Basic identification of senior officer(s) of the bank/cooperative savings association**

Name(s) and surname and maiden name	Birth number <sup>3/</sup> / date of birth	Place of birth in the form – country, district, municipality <sup>4/</sup>	State citizenship	Address of residence in the form municipality, part of municipality, street, street number, postal code, country	Proposed position

Add Line

**D. Personnel interconnection of persons with a qualified holding in the bank/cooperative savings association with other legal entities**

**7. Personnel interconnection of a person with a qualified holding with other legal entities;**

A natural person with a qualified holding shall provide a summary of its current and past membership in the statutory bodies of other legal entities over the last 10 years. A legal entity with a qualified holding shall provide such a summary for the members of its statutory and supervisory body.

**a) Natural person with a qualified holding**

Name(s) and surname and maiden name of the natural person with a qualified holding	Identification of the legal entity which the person specified in column 1 is interconnected with in personnel terms (firm/corporate name, identification number or, as the case may be, the day of commencement of business licence, registered office address in the form municipality, part of municipality, street, street number, postal code, country)	Identification of the office held by the person specified in column 1 in the statutory or supervisory body of the legal entity specified in column 2, and specification of the term of this office
1	2	3

Add Line

**b) Legal entity with a qualified holding**

Firm/corporate name of the legal entity with a qualified holding	Name(s) and surname of the natural person who is a member of the statutory body of the legal entity specified in column 1	Identification of the legal entity which the person specified in column 2 is interconnected with in personnel terms (firm/corporate name, identification number or, as the case may be, the day of commencement of business licence, registered office address in the form municipality, part of municipality, street, street number, postal code, country)	Specification of the office held by the person specified in column 2 in the statutory or supervisory body of the legal entity specified in column 3, and specification of the term of this office
1	2	3	4

Add Line

**E. List of annexes**

**8. Numbered list of all annexes (numbers must be indicated on the very annexes, too); for every individual annex, give a reference to the relevant provision of the Decree**

**IV.  
DECLARATION**

I hereby declare that the information specified in the application, in the documentary materials and documents and in the annexes is true, up-to-date and complete.

This application is lodged by the applicant

**9. Identification of the person acting for the applicant/on behalf of the applicant**

Specification of the position	
Name(s) and surname	
Date of birth	
Address of residence in the form municipality, part of municipality, street, street number, postal code, country	
Delivery address, if different from the address of residence, in the form municipality, part of municipality, street, street number, postal code, country	

This application is lodged by the applicant's representative

### 10. Identification of the person representing the applicant

<b>Details on the representative<sup>5/</sup></b>	
<b>Name(s) and surname / firm or corporate name<sup>6/</sup></b>	
<b>Date of birth</b>	
<b>Identification number</b>	
<b>Address of residence / registered office in the form municipality, part of municipality, street, street number, postal code, country</b>	
<b>Delivery address, if different from the address of residence /registered office, in the form municipality, part of municipality, street, street number, postal code, country</b>	

In
----

Date:
-------

By:
-----

<sup>1/</sup> The applicant to whom the licence for a bank or the authorization for a cooperative savings association is to be granted.

<sup>2/</sup> Act No. 256/2004 Coll., on Undertaking on the Capital Market, as amended.

<sup>3/</sup> Shall be stated if assigned.

<sup>4/</sup> To be completed only if the place of birth is in the territory of the Czech Republic.

<sup>5/</sup> For instance, a lawyer, notary or general proxy.

<sup>6/</sup> A legal entity shall also specify the person acting on its behalf.