

ANNEX III of Commission delegated regulation (EU) 2017/2055

Notification template for the exchange of information in relation to passport applications by payment institutions and e-money institutions using agents

1)	Home Member State	
2)	Host Member State in which the agent is to provide payment services	
3)	Name of the competent authority of the home Member State	
4)	Date of receipt by the competent authority of the home Member State of the complete and accurate application from the payment institution/e-money institution	DD/MM/YY
5)	Type of application	<input type="checkbox"/> First application <input type="checkbox"/> Change to previous application <input type="checkbox"/> Additional agents <input type="checkbox"/> Agent deactivation
6)	Nature of the application (assessment of the competent authority of the home Member State)	<input type="checkbox"/> Right of establishment <input type="checkbox"/> Freedom to provide services, based on the following circumstances:
7)	Type of Institution	<input type="checkbox"/> Payment Institution <input type="checkbox"/> E-Money Institution
8)	Name of the payment institution/e-money institution	
9)	Head office address of the payment/e-money institution	
10)	Unique identification number of the payment institution/e-money institution in the format of the home Member State as specified in Annex I (where applicable)	
11)	Legal Entity Identifier (LEI) of the payment institution/e-money institution (where available)	
12)	Home Member State authorisation number of the payment institution/e-money institution (where applicable)	
13)	Contact person within the payment institution/e-money institution	
14)	Email of the contact person within the payment institution/e-money institution	

15)	Telephone number of the contact person within the payment institution/e-money institution	
16)	<p>Agent details:</p> <p>a.If legal person:</p> <ul style="list-style-type: none"> i. Name ii. Registered address(es) iii.Unique identification number in the format of the Member State where the agent is located as specified in Annex I (where applicable) iv.Legal Entity Identifier (LEI) of the agent (where available) v. Telephone number vi. Email vii.Name, place and date of birth of legal representatives <p>b.If natural person:</p> <ul style="list-style-type: none"> i. Name, date and place of birth ii. Registered business address(es) iii.Unique identification number in the format of the Member State where the agent is located as specified in Annex I (where applicable) iv. Telephone number v. Email 	
17)	<p>If under the right of establishment, central contact point, if already appointed and/or required by the host authorities in accordance with Article 29(4) of Directive (EU) 2015/2366:</p> <ul style="list-style-type: none"> a. Name of representative b. Address c. Telephone number d. Email 	
18)	Payment services to be provided by the agent	<ul style="list-style-type: none"> 1. <input type="checkbox"/> Services enabling cash to be placed on a payment account as well as all the operations required for operating a payment account 2. <input type="checkbox"/> Services enabling cash withdrawals from a payment account as well as all the operations required for operating a

		<p>payment account</p> <p>3. Execution of payment transactions, including transfers of funds on a payment account with the user's payment provider or with another payment service provider:</p> <p>a) execution of direct debits, including one-off direct debits <input type="checkbox"/></p> <p>b) execution of payment transactions through a payment card or a similar device <input type="checkbox"/></p> <p>c) execution of credit transfers, including standing orders <input type="checkbox"/></p> <p>4. Execution of payment transactions where the funds are covered by a credit line for a payment service user:</p> <p>a) execution of direct debits, including one-off direct debits <input type="checkbox"/></p> <p>b) execution of payment transactions through a payment card or a similar device <input type="checkbox"/></p> <p>c) execution of credit transfers, including standing orders <input type="checkbox"/></p> <p>Including granting of credit in accordance with Article 18(4) of Directive (EU) 2015/2366: <input type="checkbox"/> yes <input type="checkbox"/> no</p> <p>5. <input type="checkbox"/> Issuing of payment instruments <input type="checkbox"/> Acquiring of payment transactions</p> <p>Including granting of credit in accordance with Article 18(4) of Directive (EU) 2015/2366: <input type="checkbox"/> yes <input type="checkbox"/> no</p> <p>6. <input type="checkbox"/> Money remittance</p> <p>7. <input type="checkbox"/> Payment initiation services</p> <p>8. <input type="checkbox"/> Account information services</p>
19)	Description of the internal control mechanisms that will be used by the payment institution/e-money institution/agent in order to comply with the obligations in relation to the prevention of money laundering and terrorist financing under Directive (EU) 2015/849	

20)	Identity and contact details of directors and persons responsible for the management of the agent engaged	
21)	For agents other than payment service providers, criteria considered to ensure that directors and persons responsible for the management of the agent to be used in the provision of payment services are fit and proper persons.	<p>a. <input type="checkbox"/> Evidence gathered by the Payment Institution attesting that directors and persons responsible for the management of the agent to be used in the provision of payment services are fit and proper persons.</p> <p>b. <input type="checkbox"/> Actions taken by the competent authority in the home Member State pursuant to Article 19(3) of Directive (EU) 2015/2366 to verify the information provided by the payment institution.</p>
22)	<p>In case of outsourcing of operational functions of payment/e-money services:</p> <p>a. Name and address of the entity to which operational functions are to be outsourced</p> <p>b. Contact details (email and telephone number) of a contact person within the entity to which operational functions are to be outsourced</p> <p>c. Type and exhaustive description of the operational functions outsourced</p>	
