**Notification**

**of an insurance undertaking carrying its activities**

**through a branch in another Member state**

pursuant to Directive 2009/138/EC of the European Parliament and of the Council of 25 November 2009 relating to the taking-up and pursuit of the business of Insurance and Reinsurance

(hereinafter "Solvency II")

Specific information can be found in the Annex to the Decision on the collaboration of the insurance supervizory authorities (**[Annex to the Decision on the collaboration of the insurance supervisory authorities)](https://www.eiopa.europa.eu/system/files/2021-06/eiopa-bos-17-014_annex_decision_on_the_collaboration_of_the_insurance_supervisory_authorities.pdf)**. The different points of the form indicate in brackets the designation of the relevant chapter of this Decision.

The insurance company's notification including annexes is submitted in both Czech and English
(see separate form).

I.

**ADMINISTRATIVE AUTHORITY**

**1. Name and address of the administrative authority**

|  |  |
| --- | --- |
| **Name of the administrative authority** | Czech National Bank |
| **Registered office** | Na Příkopě 28, Praha 1, PSČ 115 03 |
| **Filing department** | Senovážná 3, Praha 1, PSČ 115 03 |
| **Data mailbox ID, email** | Data mailbox ID: 8tgaiejEmail: podatelna@cnb.cz |

II.

**NOTIFIER**

**2. Notifier** (3.1.1.1 A)

|  |  |
| --- | --- |
| **Corporate name** |  |
| **Adress of residence in the form** municipality, part of municipality, street, street number, postal code, country |  |
| **Identification number a)** |  |
| **Legal entity identification number (LEI)a)** |  |

III.

**INFORMATION ABOUT THE BRANCH**

**3. Identification of the branch** (3.1.1.1 B)

|  |  |
| --- | --- |
| **Name of the branch** |  |
| **Delivery addressb), if different from the registered office in the form**municipality, part of municipality, street, street number, postal code, country |  |
| **Identification number a)** |  |
| **Legal entity identification number (LEI)a)** |  |

**4. The classes of insurance according to the annexes I and II to the Solvency II Directive and the annex I to Insurance Act No. 277/2009 Coll., for which the undertaking is authorised (column I) and the classes of insurance to be carried on by the branch in the territory of the Host Member Stat (column II)** (3.1.1.1 C)

**4a. Classes of non-life insurance** (Annex 1)

|  |
| --- |
| **Classification of risks according to classes of insurance** |
|  | **I.** | **II.** |
| **1.**  | **Accident**  |
|  | a) fixed pecuniary benefits | [ ]  | [ ]  |
|  | b) benefits in the nature of indemnity | [ ]  | [ ]  |
| c) combinations of the two | [ ]  | [ ]  |
| d) injury to passengers | [ ]  | [ ]  |
| **2.**  | **Sickness** |
|  | a) fixed pecuniary benefits | [ ]  | [ ]  |
| b) benefits in the nature of indemnity | [ ]  | [ ]  |
| c) combinations of the two | [ ]  | [ ]  |
| d) private health insurance | [ ]  | [ ]  |
| **3.**  | **Land vehicles (other than railway rolling stock)** |
|  | a) land motor vehicles | [ ]  | [ ]  |
| b) land vehicles other than motor vehicles | [ ]  | [ ]  |
| **4.**  | **Railway rolling stock** | [ ]  | [ ]  |
| **5.**  | **Aircraft** | [ ]  | [ ]  |
| **6.** | **Ships** |
|  | a) river and canal vessels | [ ]  | [ ]  |
| b) lake vessels | [ ]  | [ ]  |
| c) sea vessels | [ ]  | [ ]  |
| **7.**  | **Goods in transit or baggage, irrespective of the form of transport** | [ ]  | [ ]  |
| **8.**  | **All damage to property (other than property included in classes 3, 4, 5, 6 and 7) due to** |
|  | a) fire | [ ]  | [ ]  |
| b) explosion | [ ]  | [ ]  |
| c) storm | [ ]  | [ ]  |
| d) natural forces other than storm (e.g. lightning, flood, inundation) | [ ]  | [ ]  |
| e) nuclear energy | [ ]  | [ ]  |
| f) land subsidence | [ ]  | [ ]  |
| **9.**  | **All damage to property (other than property included in classes 3, 4, 5, 6 and 7) due to hail or frost** **or other insured perils (e.g. robbery, theft or damage caused by wild animals), if these are not included in class 8 including insurance for damage to livestock caused by disease or other insured perils** | [ ]  | [ ]  |
| **10.** | **Liability resulting from** |
|  | a) operation of a land motor vehicle and its trailer | [ ]  | [ ]  |
| b) carrier's activity | [ ]  | [ ]  |
| c) operation of a railway rolling stock | [ ]  | [ ]  |
| **11.** | **All liability arising out of the use of aircraft (including carrier’s liability)** | [ ]  | [ ]  |
| **12.**  | **All liability arising out of the use of ships, vessels or boats on the sea, lakes, rivers or canals (including carrier’s liability)** | [ ]  | [ ]  |
| **13.** | **All liability other than those referred to in classes 10, 11 and 12** |
|  | a) for environmental damage | [ ]  | [ ]  |
| b) for damage caused by a nuclear facility | [ ]  | [ ]  |
| c) for damage caused by a defect in the product | [ ]  | [ ]  |
| d) others | [ ]  | [ ]  |
| **14.**  | **Credit** |  |
|  | a) insolvency (general) | [ ]  | [ ]  |
|  | b) export credit | [ ]  | [ ]  |
| c) instalment credit | [ ]  | [ ]  |
| d) mortgages | [ ]  | [ ]  |
| e) agricultural credit | [ ]  | [ ]  |
| **15.**  | **Suretyship** |
|  | a) suretyship (direct) | [ ]  | [ ]  |
| b) suretyship (indirect) | [ ]  | [ ]  |
| **16.**  | **Miscellaneous financial loss** |
|  | a) employment risks | [ ]  | [ ]  |
| b) insufficiency of income (general) | [ ]  | [ ]  |
| c) bad weather | [ ]  | [ ]  |
| d) loss of benefits | [ ]  | [ ]  |
| e) continuing general expenses | [ ]  | [ ]  |
| f) unforeseen trading expenses | [ ]  | [ ]  |
| g) loss of market value | [ ]  | [ ]  |
| h) loss of rent or revenue | [ ]  | [ ]  |
| i) other indirect trading loss | [ ]  | [ ]  |
|  | j) other forms of financial loss | [ ]  | [ ]  |
| **17.**  | **Legal expenses** | [ ]  | [ ]  |
| **18.**  | **Assistance for persons who get into difficulties while travelling, while away from their home or their habitual residence** **including insurance for financial losses directly related to travel** | [ ]  | [ ]  |
| **Name of authorisations granted for more than one class of insurance** |
|  | **I.** | **II.** |
| **a)**  | **Part A, points 1 and 2: ‘Accident and Health Insurance’** | [ ]  | [ ]  |
| **b)**  | **Part A, point 1(d) and points 3, 7 and 10: ‘Motor Insurance’** | [ ]  | [ ]  |
| **c)** | **Part A, point 1(d) and points 4, 6, 7 and 12: ‘Marine and Transport Insurance’** | [ ]  | [ ]  |
| **d)**  | **Part A, point 1(d) and points 5, 7 and 11: ‘Aviation Insurance’** | [ ]  | [ ]  |
| **e)** | **Part A, point 8 and 9: ‘Insurance against Fire and other Damage to Property’** | [ ]  | [ ]  |
| **f)** | **Part A, point 10, 11, 12 and 13: ‘Liability Insurance’** | [ ]  | [ ]  |
| **g)** | **Part A point 14, 15: ‘Credit and Suretyship Insurance’** | [ ]  | [ ]  |

**4b. Classes of life insurance (**Annex 2**)**

|  |
| --- |
| **Classes of life insurance** |
|  | **I.** | **II.** |
| **I.**  | **Insurance**  |
|  | a) which compromises assurance on survival to a stipulated age only, assurance on death only, assurance on survival to a stipulated age or on earlier death, assurance with return of premiums, joint life insurance | [ ]  | [ ]  |
| b) annuities | [ ]  | [ ]  |
| c) accident or sickness insurance as supplementary insurance to insurance under this Part | [ ]  | [ ]  |
| **II.**  | **Marriage assurance, birth assurance** | [ ]  | [ ]  |
| **III.** | **Insurance referred to in points I(a) and (b) and II which are linked to an investment fund.** | [ ]  | [ ]  |
| **IV.**  | **Permanent health insurance under Article 2(3)(a)(iv) of the Directive of the European Parliament and of the Council regulating the taking-up and pursuit of the business of Insurance and Reinsurance.** | [ ]  | [ ]  |
| **V.** | **Tontines** | [ ]  | [ ]  |
| **VI.**  | **Capital redemption operations** | [ ]  | [ ]  |
| **VII.** | **Management of group pension funds, including, where appropriate, insurance ensuring the conservation of capital or payment of a minimum interest** | [ ]  | [ ]  |
| **VIII.**  | **Activities referred to in Article 2(3)(b)(iii) of the Directive of the European Parliament and of the Council regulating the taking-up and pursuit of the business of Insurance and Reinsurance** | [ ]  | [ ]  |
| **IX.** | **Insurance relating to the length of a person's life which is regulated by social insurance legislation where the law allows it to be carried out by an insurance undertaking on its own account** | [ ]  | [ ]  |

**4c. Reinsurance activity**

|  |  |
| --- | --- |
| **A. Life assurance classes** | [ ]  |
| **B. Non-life assurance classes** | [ ]  |

 **5. The scheme of operations**

The notifier shall attach the scheme of operations and indicate in points:

1. the nature of the risks or commitments which the undertaking is proposing to cover through the branch and the characteristics of the main products that will be marketed, (3.1.1.1 D.a)

|  |
| --- |
|  |

1. if available, the guiding principles as to reinsurance and to retrocession with respect to the branch operations, (3.1.1.1 D.b)

|  |
| --- |
|  |

1. if available, cost estimates for setting up the administrative services and organisation
for securing business of the branch; the financial resources set aside for this purpose and, if the risks to be covered fall within class n°18 in part a of annex I to the solvency II directive, the company taking over assistance services or the resources available to the insurance undertaking to provide the promised assistance, (3.1.1.1 D.c)

|  |
| --- |
|  |

1. the organisational structure of the branch and (3.1.1.1 D.d)

|  |
| --- |
|  |

1. if available, for the first three financial years of the branch,
	1. estimates of management expenses, other than installation costs. in particular, general expenses and commissions and
	2. estimates of premiums or contributions and claims. (3.1.1.1 D.e)

|  |
| --- |
|  |

The notifier shall also provide the scheme of operations in the form of a detailed description of the above information.

**6. Managing persons** (3.1.1.2 B)

The notifier shall attach a list of person(s) who effectively run the branch or are responsible for key functions for the branch (persons responsible for the activities of the branch within the Home Member State), for each person,

1. name and date of birth,
2. a copy of the identity card, passport or appointment document,
3. address of residence in the form municipality, part of municipality, street, street number, postal code, country,
4. delivery addressb), if different from the address of residence, in the form municipality, part of municipality, street, street number, postal code, country and
5. the designation of the position and a brief description of it.

|  |
| --- |
|  |

**7. Further details of planned activities** (3.1.1.2 D)

The notifier shall also attach all available information on

1. planned distribution channel(s),
2. relevant outsourcing contracts and
3. partners that will be used in the Host Member State.

IV.

**INFORMATION ABOUT THE INSURANCE UNDERTAKING**

 **8. Data related to risk management**

The notifier shall also attach

1. declaration that the undertaking has become a member of the national bureau and the national guarantee fund of the Host Member State and the Attachment of the declaration, (3.1.1.1 F)
2. description of the relevant policyholder guarantee funds in the Home Member State where applicable, (3.1.1.2 F)
3. name and address of the claims representative as referred to in article 18(1)(h), if the insurance undertaking is to cover risks in class 10 in part a of annex I to the Solvency II Directive, not including carrier's liability,
4. description of the option chosen from those specified in article 200 of the Solvency II Directive, In cases where the insurance undertaking intends to cover risks relating to legal expenses insurance and (3.1.1.1 G)
5. summary of the undertaking’s system of governance, including the risk management system
in place, which provides for the proper management of the business of the branch. (3.1.1.3 A)

**9. Information about the group** (3.1.1.2 C)

If the notifier is part of a cross-border group, it shall attach

1. international name of the group,
2. LEI of the ultimate parent company,
3. name of the group supervisor and
4. structure of the group as included in the coordination arrangement’s annex, together with the last reported group solvency position.

IV**.**

**ANNNEXES**

##### 10. A numbered list of all annexes; if there are several annexes to the same matter, indicate the number of annexes (the numbers should also be indicated on the annexes themselves; if the annex consists of several documents, indicate them additionally by letters)

|  |  |  |
| --- | --- | --- |
| **Annex no.** | **Name of the annex** | **Number**  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |

V.

**OTHER INFORMATION RELATED TO THE NOTIFICATION**

**6. Identification of the person, who possesses sufficient powers to bind, in relation to third parties, the insurance undertaking or, in the case of Lloyd's, the underwriters concerned and to represent it or them in relations with the authorities and courts
of the Host Member State (the authorised agent)** (3.1.1.1 E)

|  |  |
| --- | --- |
| **Specification of the position** |  |
| **Name and surname** |  |
| **Description of powers**  |  |
| **Email, Data mailbox ID and phone number** |  |

VI.

**DECLARATION**

I hereby declare that the information specified in the application, in the documentary materials and documents and in the annexes is true, up-to-date and complete.

In Date Byb)

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  |  |  |  |  |

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

a/ If this information is available. If not available, the identification code assigned by the foreign supervisory authority shall be provided.

b/ A legal entity shall also specify the person acting on its behalf.