Standard form for the notification of Home Member State

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| **NOTIFICATION OF HOME MEMBER STATE** *(\* mandatory information)* |
|  |
| **1.\* Issuer Name:**  1a. Formerly known as[[1]](#endnote-1) |
|  |
| **2.\* Registered office:** |
|  |
| **3. LEI**[[2]](#endnote-2)**:**  3a. National company register number[[3]](#endnote-3) |
|  |
| **4.\* Home member state**[[4]](#endnote-4)**:** |
|  |
| **5.\* Triggering event**[[5]](#endnote-5)**:**  [  ]  Issuer of shares admitted to trading *(Article 118 sec. 1 subsec. a) BACM[[6]](#footnote-1))*  [  ]  Issuer of debt securities denominated less than EUR 1,000 admitted to trading *(Article 118 sec. 1 subsec. b) BACM)*  [  ]  Issuer of other securities[[7]](#endnote-6) *(Article 118 sec. 1 subsec. c) or d) BACM)*  [  ]  Change of home Member State *(Article 123 sec. 4 BACM)* |

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| --- | --- | --- | --- |
| **6.\* Member State(s) where the issuer's securities are admitted to trading** [[8]](#endnote-7)**:** | | | |
|  | **Shares** | **Debt securities**  **< 1 000 EUR** | **Other securities** |
| Austria | **[  ]** | **[  ]** | **[  ]** |
| Belgium | **[  ]** | **[  ]** | **[  ]** |
| Bulgaria | **[  ]** | **[  ]** | **[  ]** |
| Croatia | **[  ]** | **[  ]** | **[  ]** |
| Cyprus | **[  ]** | **[  ]** | **[  ]** |
| Czech Republic | **[  ]** | **[  ]** | **[  ]** |
| Denmark | **[  ]** | **[  ]** | **[  ]** |
| Estonia | **[  ]** | **[  ]** | **[  ]** |
| Finland | **[  ]** | **[  ]** | **[  ]** |
| France | **[  ]** | **[  ]** | **[  ]** |
| Germany | **[  ]** | **[  ]** | **[  ]** |
| Greece | **[  ]** | **[  ]** | **[  ]** |
| Hungary | **[  ]** | **[  ]** | **[  ]** |
| Iceland | **[  ]** | **[  ]** | **[  ]** |
| Ireland | **[  ]** | **[  ]** | **[  ]** |
| Italy | **[  ]** | **[  ]** | **[  ]** |
| Latvia | **[  ]** | **[  ]** | **[  ]** |
| Liechtenstein | **[  ]** | **[  ]** | **[  ]** |
| Lithuania | **[  ]** | **[  ]** | **[  ]** |
| Luxembourg | **[  ]** | **[  ]** | **[  ]** |
| Malta | **[  ]** | **[  ]** | **[  ]** |
| Netherlands | **[  ]** | **[  ]** | **[  ]** |
| Norway | **[  ]** | **[  ]** | **[  ]** |
| Poland | **[  ]** | **[  ]** | **[  ]** |
| Portugal | **[  ]** | **[  ]** | **[  ]** |
| Romania | **[  ]** | **[  ]** | **[  ]** |
| Slovakia | **[  ]** | **[  ]** | **[  ]** |
| Slovenia | **[  ]** | **[  ]** | **[  ]** |
| Spain | **[  ]** | **[  ]** | **[  ]** |
| Sweden | **[  ]** | **[  ]** | **[  ]** |
| United Kingdom | **[  ]** | **[  ]** | **[  ]** |
| 6a. Former home Member State (if applicable)[[9]](#endnote-8): | | | |
|  | | | |
| **7.\* NCAs the form is required to be filed with**[[10]](#endnote-9)**:** | | | |
|  | | | |
| **8.\* Date of notification:** | | | |
|  | | | |
| **9. Start date of 3 year period** [[11]](#endnote-10)**:** | | | |
|  | | | |
| **10. Additional information**[[12]](#endnote-11)**:** | | | |
|  | | | |
| **11.\* Contact details:**  Issuer’s address:  Person responsible within the issuer for the present notification:  E-Mail address:  Telephone: | | | |

**Notes and instructions**

*This standard form is derived from the model standard form published by ESMA [[13]](#footnote-2),which will be accepted by the CNB as well.*

The notification shall be sent to the CNB via the Internet application for Collection of information and registration of entities [[14]](#footnote-3), by adding the completed form as an attachment.

**Information on filing procedures to other competent authorities:**

|  |  |
| --- | --- |
| Austria | send an e-mail to: [marktaufsicht@fma.gv.at](mailto:marktaufsicht@fma.gv.at) |
| Belgium | send an e-mail to: [trp.fin@fsma.be](mailto:trp.fin@fsma.be) |
| Bulgaria |  |
| Croatia | via national OAM: SRPI; or postal address: Miramarska 24b, 10000 Zagreb, Croatia |
| Cyprus | send an e-mail to: [info@cysec.gov.cy](mailto:info@cysec.gov.cy) (to the attention of Issuers Department) |
| Denmark | website: <http://oasm.dfsa.dk/> |
| Estonia | send an e-mail to: [info@fi.ee](mailto:info@fi.ee) |
| Finland | send an e-mail to: [kirjaamo@finanssivalvonta.fi](mailto:kirjaamo@finanssivalvonta.fi) |
| France | via: <https://onde.amf-nce.org/RemiseInformationEmetteur/Client/PTRemiseInformationEmetteur.aspx> |
| Germany | send an e-mail to: p26@bafin.de |
| Greece | send an e-mail to: [transparency@cmc.gov.gr](mailto:transparency@cmc.gov.gr) |
| Hungary | send an e-mail to: [surveillance@mnb.hu](mailto:surveillance@mnb.hu) |
| Iceland | via national OAM: [www.oam.is](http://www.oam.is/) |
| Ireland | send an e-mail to: [regulateddisclosures@centralbank.ie](mailto:regulateddisclosures@centralbank.ie) |
| Italy | send an e-mail to: [HMSdisclosureform@consob.it](mailto:HMSdisclosureform@consob.it) |
| Latvia | send an e-mail to: [fktk@fktk.lv](mailto:fktk@fktk.lv) or postal address: Kungu iela 1, Riga, Latvia, LV-1050 |
| Liechtenstein |  |
| Lithuania | send an e-mail to: [transparency@lb.lt](mailto:transparency@lb.lt) |
| Luxembourg | send an e-mail to: [transparency@cssf.lu](mailto:transparency@cssf.lu) |
| Malta |  |
| Netherlands | send an e-mail to: [HMS\_Registration@afm.nl](mailto:HMS_Registration@afm.nl) |
| Norway |  |
| Poland | send an e-mail to: [dno@knf.gov.pl](mailto:dno@knf.gov.pl) or via ESPI system |
| Portugal | send an e-mail to: transparency@cmvm.pt |
| Romania | send an e-mail to :[transparency@asfromania.ro](mailto:transparency@asfromania.ro) |
| Slovakia | via national OAM: <https://ceri.nbs.sk/> |
| Slovenia | send an e-mail to: [info@atvp.si](mailto:info@atvp.si) |
| Spain | on-line register: <https://sede.cnmv.gob.es/sedecnmv/SedeElectronica.aspx?lang=en> |
| Sweden | send an e-mail to: [borsbolag@fi.se](mailto:borsbolag@fi.se) |
| United Kingdom | send an e-mail to: [tdhomestate@fca.org.uk](mailto:tdhomestate@fca.org.uk) |

1. *In case of a change of the company name compared to the previous disclosure, please provide the issuer’s former company name. In case of a first time disclosure, no information on an earlier name change is required.* [↑](#endnote-ref-1)
2. *Legal entity identifier* [↑](#endnote-ref-2)
3. *In case the LEI is unavailable, please provide for identification purposes the number under which the issuer is registered with the business register of its country of incorporation.* [↑](#endnote-ref-3)
4. *The home Member State pursuant to Article 123 BACM.* [↑](#endnote-ref-4)
5. *The criteria upon which the home Member State has been determined (mark with „X“).* [↑](#endnote-ref-5)
6. Act No. 256/2004 Coll., on Business Activities on the Capital Market (“BACM”) [↑](#footnote-ref-1)
7. *For example debt securities denominated at least EUR 1,000, units of collective investment undertaking of the closed end- type.* [↑](#endnote-ref-6)
8. *Only securities admitted to trading on regulated markets shall be taken into account (mark with „X“).* [↑](#endnote-ref-7)
9. *Information required in case the issuer chooses a new home Member State pursuant to Article 123 sec. 4 BACM.* [↑](#endnote-ref-8)
10. *According to Article 123 sec. 2 BACM.* [↑](#endnote-ref-9)
11. *In case of a choice of home Member State pursuant to Article 123 sec. 1 BACM.* [↑](#endnote-ref-10)
12. *Please provide any relevant additional information.* [↑](#endnote-ref-11)
13. <https://www.esma.europa.eu/document/standard-form-disclosure-home-member-state-0> [↑](#footnote-ref-2)
14. <https://www.cnb.cz/en/supervision-financial-market/conduct-of-supervision/information-duties-of-financial-market-entities-vis-a-vis-the-czech-national-bank/regular-reporting-of-issuers-and-other-persons/> [↑](#footnote-ref-3)