Annex 1c

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| --- | --- | --- | --- |
| PD/RD name: |  |  |  |
| Issue ISIN and name: |  |  |  |

LIST OF INVESTORS

|  |  |  |  |
| --- | --- | --- | --- |
| Investor name | IČ(ID number) | GB bid amount(in CZK 000s) | GBYield/Price |
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| Total |  |  | CZK 000s |

Note: the table shall also comprise the customer bids which are settled after the auction on the secondary market

 …………………………………

 PD’s / RD’s signature

Annex 1c

**List of investors**

1. The list of investors (hereinafter referred to as the “List”) shall pertain to the competitive and the non-competitive bid. In this entry.
2. The PD or RD shall give the same PD or RD name and issue identification information (ISIN and name of issue) as given on the Bid form.
3. The “Investor name” column shall contain the unabbreviated name or identity of the investor – client, PD or RD.
4. The “IČ (ID number)” column shall contain the IČ (ID number) or birth identity number of the investor (client, PD or RD), if he has been allocated one.
5. The PD or RD shall state the investor’s individual requests (these shall also comprise the customer bids settled after the auction on the secondary market) in the column “GB bid amount”. In the columns “Investor name” and “IČ (ID number)”, the investor’s identity need only be given in the first row of the investor’s requests.
6. In the “GB Yield/Price” column the PD or RD shall mention, in dependence on the manner of submission of bids for the auction given in the Announcement of an Auction, either the yield in per cent p.a., or the price, specified to the required number of decimal positions, according to the yield or price with which the investor’s requirement is included in the Competitive Bid. In the case of non-competitive bids, the PD or RD shall insert the letter “N” in the “GB Yield/Price” column.
7. The List must be signed by an authorised person whose specimen signature is given on the form “Specimen signatures of primary dealer or recognized dealer in auctions of government securities” (Annex 1e of these Rules) which the CNB has at its disposal.